

derivatives  $\frac{\partial f}{\partial x}$  and  $\frac{\partial f}{\partial y}$  vanish at the origin.

Example 2 Relative minimum.  $Z = f(x, y) = x^2 + y^2$ . This example, another paraboloid of revolution is essentially the same as Example 1, except that there is a minimum at the origin rather than a maximum. The appearance of the surface near the origin is illustrated in Figure 1(c) and some of the level curves are shown in Figure 1(b).

Example 3. Saddle point.  $Z = f(x, y) = xy$ . This surface is a hyperbolic paraboloid. Near the origin the surface is saddle shaped as shown in Figure 2(a). Both partial derivatives  $\frac{\partial f}{\partial x}$  and  $\frac{\partial f}{\partial y}$  are zero at the origin but there is neither a relative maximum nor a relative minimum there. In fact, for points  $(x, y)$  in the first or third quadrant,  $x$  and  $y$  have the same sign, giving  $f(x, y) > 0 = f(0, 0)$ , whereas in the second and 4th quadrant  $x, y$  have opposite signs, giving us  $f(x, y) < 0 = f(0, 0)$ . Therefore in every neighbourhood of the origin there are points at which the function is less than  $f(0, 0)$  and points at which the function exceeds  $f(0, 0)$ , so the origin is a saddle point.

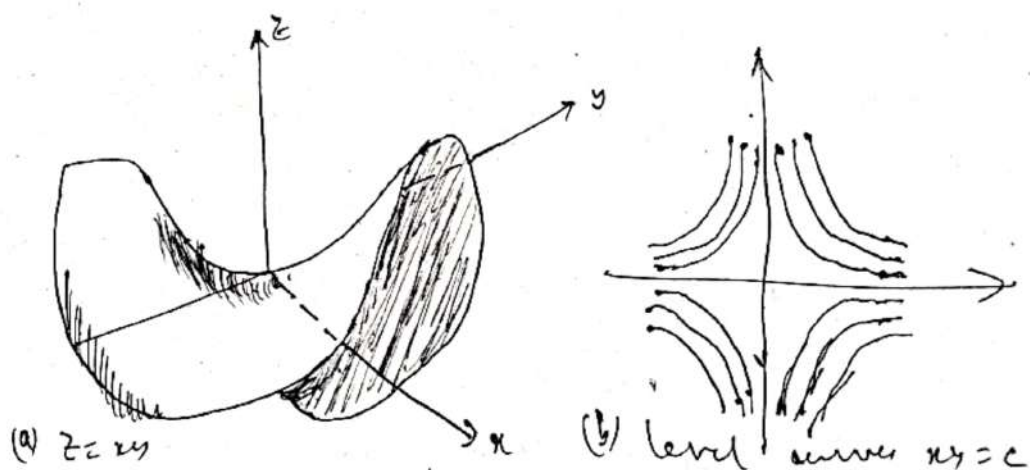


Figure 2 Examples Saddle point at the origin

The presence of the saddle point is also not revealed by figure 9(b) which shows some of the level curves near  $(0,0)$ . These are hyperbolas having the  $x$ -axis and  $y$ -axis as asymptotes.

Example 4 Saddle point.  $Z = f(x, y) = x^3 - 3xy^2$ . Near the origin, this surface has the appearance of a mountain pass in the vicinity of three peaks. This surface, sometimes referred to as a 'monkey saddle', is shown in figure 9(a). Some of the level curves are illustrated in 9(b). It is clear that there is a saddle point at the origin.

Example 5 Relative minimum.  $Z = f(x, y) = x^2 + y^2$ . This surface has the appearance of a valley surrounded by four mountains, as suggested by 9(a). There is an absolute minimum at the origin, since  $f(x, y) \geq f(0, 0)$  for all of  $x, y$ . The level curves [shown in figure 9(b)] are hyperbolas having the  $x$ -axis and  $y$ -axis as asymptotes. Note that these level curves are similar to those in Example 3. In this case, however the function assumes only non-negative values on all its level curves.

Example 6 Relative maximum.  $Z = f(x, y) = 1 - x^2$ . In this case surface is a cylinder with generators parallel to  $y$ -axis as shown in 5(a). Cross section cut by

planes parallel to the  $z$ -axis are parabolas. There is obviously an absolute maximum at the origin because  $f(x,y) = 1 - x^2 \leq 1 = f(0,0)$  for all  $(x,y)$ . The level curves form a family of parallel straight lines as shown in 5(b)

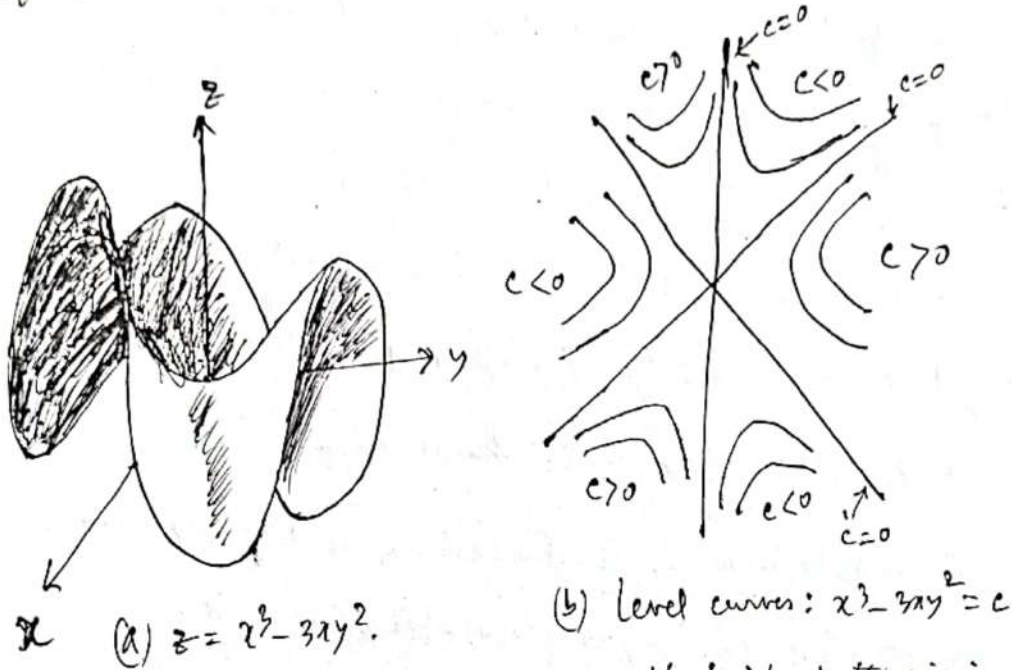


Figure 3 Example 4. Saddle point at the origin.

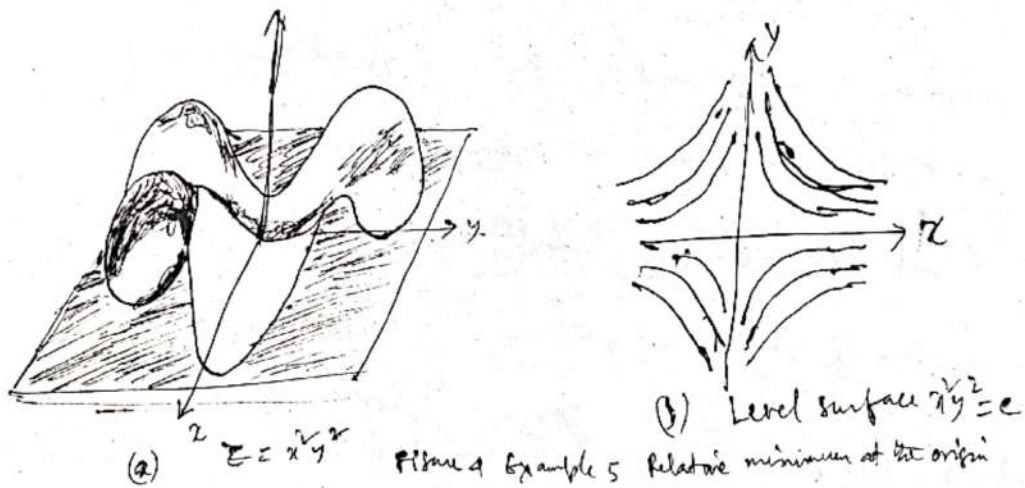


Figure 4 Example 5 Relative minimum at the origin

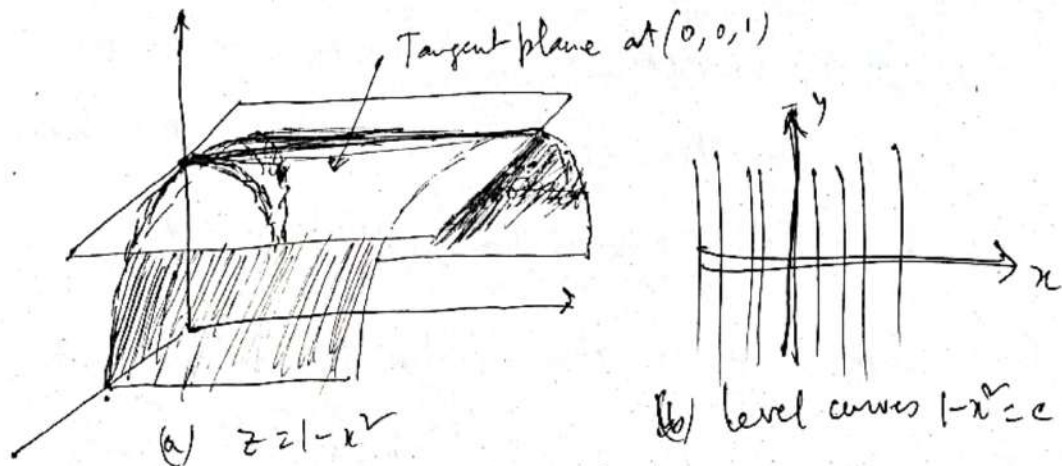


Figure 5 Example 6 Relative maximum at origin

### 1.17 Extrema (or Extreme values) of functions of two variables :

**Definition:** Let  $S \subseteq \mathbb{R}^2$  and  $f: S \rightarrow \mathbb{R}$  be a function two independent variables  $x$  and  $y$ . Let  $(a, b)$  be an interior point of  $S$ .  $f$  is said to have an extreme (or local extreme) value at  $(a, b)$  or equivalently  $f(a, b)$  is said to be an extreme value of  $f$ , if there exists a suitable neighbourhood  $N(a, b)$  of  $(a, b)$  such that for all  $(x, y) \in N(a, b)$ ,  $f(x, y) - f(a, b)$  does not change sign.

$f$  is said to have a (local) maximum or a (local) minimum value at  $(a, b)$  according as  $f(x, y) - f(a, b) \leq 0$  or  $\geq 0$  for all  $(x, y) \in N(a, b)$ .

**Remark:**  $f$  is said to have a global maximum at  $(a, b) \in S$  if  $f(x, y) - f(a, b)$  does not change sign in  $S$ .  $f$  has a global maximum or global minimum at  $(a, b)$  according as  $f(x, y) - f(a, b) \leq 0$  or  $\geq 0$  for all  $(x, y)$  in  $S$ .

**Theorem 1.17.1 (Necessary condition for existence of extreme value):**

Let  $S \subset \mathbb{R}^2$ ,  $f: S \rightarrow \mathbb{R}$  and  $(a, b)$  be an interior point of  $S$ .

If partial derivative  $f_x, f_y$  exists at  $(a, b)$  and  $f$  has an extreme value at  $(a, b)$ , then  $f_x(a, b) = 0, f_y(a, b) = 0$

**Proof:** Since  $f$  has an extreme value at  $(a, b)$  implies both the function of single variable  $f(x, b)$  and  $f(a, y)$  have.