

is neither a point of local maximum nor a point of local minimum for the function f .

Note 3 The theorem holds if c is an interior point of I . Then f has a local maximum at l (not an interior point of $[0, 1]$). f is differentiable at l , but $f'(l) \neq 0$.

Theorem 2.3.2 (First derivative test for extrema).

Let f be continuous on $I = [a, b]$ and c be an interior point of I . Let f be differentiable on (a, c) and (c, b) .

1. If \exists a neighbourhood $(c-\delta, c+\delta) \subset I$ of c such that $f'(x) \geq 0$ for $x \in (c-\delta, c)$ and $f'(x) \leq 0$ for $x \in (c, c+\delta)$, then f has a local maximum at c .

2. If \exists a neighbourhood $(c-\delta, c+\delta) \subset I$ of c such that $f'(x) \leq 0$ for $x \in (c-\delta, c)$ and $f'(x) \geq 0$ for $x \in (c, c+\delta)$, then f has a local minimum at c .

3. If $f'(x)$ keeps the same sign on $(c-\delta, c)$ and $(c, c+\delta)$ then f has no extremum at c .

Proof: 1. Let $x \in (c-\delta, c)$. Applying Mean Value Theorem

to the function f on $[x, c]$, we have

$$f(c) - f(x) = (c-x) f'(\xi) \quad \text{for some } \xi \in (x, c)$$

Since $f'(\xi) \geq 0$, we have $f(x) \leq f(c)$ for $x \in (c-\delta, c)$.

Let $x \in (c, c+\delta)$. Applying mean value theorem to the

function f on $[c, x]$, we have $f(x) - f(c) = (x-c) f'(\eta)$

for some $\eta \in (c, x)$. Since $f'(\eta) \leq 0$ we have

$f(x) \leq f(c)$ for $x \in (c, c+\delta)$. It follows that

$f(c) \geq f(x)$ for all $x \in N(c, \delta) \cap I$, $N(c, \delta) = (c-\delta, c+\delta)$

So, f has a local maximum at c

2. Similar proof as 1.

3. Let $f'(x) > 0$ for $x \in (c-\delta, c)$ and for $x \in (c, c+\delta)$.

Then $f(x) < f(c)$ for $x \in (c-\delta, c)$ and $f(c) < f(x)$ for $x \in (c, c+\delta)$. So f has neither a maximum nor a minimum at c . Similar proof if $f'(x) < 0$ for $x \in (c-\delta, c)$ and for $x \in (c, c+\delta)$.

Note: The converse of the theorem is not true

for example, let $f(x) = 2x^2 + x^2 \sin \frac{1}{x}$, $x \neq 0$
 $= 0$, $x = 0$

Then f has a local minimum at 0.

$$f'(x) = 4x + 2x \sin \frac{1}{x} - \cos \frac{1}{x}, \quad x \neq 0$$

$$= 0, \quad x = 0$$

f' takes both positive and negative values on both sides of 0 (in the immediate neighbourhood).

Examples

1. Let $f(x) = |x|$, $x \in \mathbb{R}$

f is continuous on \mathbb{R} , f is not differentiable at 0.

$f'(x) < 0$ for $x \in (-\delta, 0)$ and $f'(x) > 0$ for $x \in (0, \delta)$

for some $\delta > 0$. So, f has a local minimum at 0.

2. Let $f(x) = |x-1| + |x-2|$, $x \in [0, 3]$.

$$\text{Then } f(x) = 3-2x, \quad \text{if } 0 \leq x < 1$$

$$= 1, \quad \text{if } 1 \leq x \leq 2$$

$$= 2x-3, \quad \text{if } 2 < x \leq 3$$

f is continuous on $[0, 3]$. f is not differentiable at 1 and

$$2. \quad f'(x) < 0 \text{ for } x \in (1, 1+\delta), \quad f'(x) = 0 \text{ for } x \in (1, 1+\delta)$$

for δ satisfying $0 < \delta < 1$. So, f has a local minimum at 1.

$f'(x) = 0$ for $x \in (2-\delta, 2)$, $f'(x) > 0$ for $x \in (2, 2+\delta)$ for some δ satisfying $0 < \delta < 1$. So, f has a local minimum at 2.

$$3. \quad f(x) = (x-1)^2(x-3)^3, \quad x \in \mathbb{R}$$

$$f'(x) = 2(x-1)(x-3)^3 + 3(x-1)^2(x-3)^2$$

$$= (x-1)(x-3)^2(5x-9), \quad x \in \mathbb{R}$$

f is continuous on \mathbb{R} , $f'(x) = 0$ at the points

$$1, 3, \frac{9}{5}$$

$f'(x) > 0$ for $x \in (1-\delta, 1)$ and $f'(x) < 0$ for $x \in (1, 1+\delta)$

for some $\delta > 0$. So, f has a local maximum at 1

$f'(x) > 0$ for $x \in (3-\delta, 3)$ and $f'(x) > 0$ for $x \in (3, 3+\delta)$

for some $\delta > 0$. So, f has neither a maximum nor a minimum at 3.

$f'(x) < 0$ for $x \in (\frac{9}{5}-\delta, \frac{9}{5})$ and $f'(x) > 0$ for $x \in (\frac{9}{5}, \frac{9}{5}+\delta)$ for some $\delta > 0$. So, f has

a local minimum at $\frac{9}{5}$.

Now we state without proof a sufficient condition for the ~~ext~~ existence of maximum or minimum which involves higher order derivatives

Theorem 2.3.3 (Higher order derivative test for extrema)

Let $f: I \rightarrow \mathbb{R}$ and c be an interior point of I .

If $f'(c) = f''(c) = \dots = f^{(n-1)}(c) = 0$ and $f^{(n)}(c) \neq 0$, then f has

(i) no extremum at c if n be odd, and

(ii) a local extremum at c if n be even:

a local maximum if $f^{(n)}(c) < 0$, a local minimum if $f^{(n)}(c) > 0$

Worked examples 1. $f(x) = x^5 - 5x^4 + 5x^3 + 10$, $x \in \mathbb{R}$

Show that f has a maximum at 1 and a minimum at 3

and f has neither a maximum nor a minimum at 0.

Solution: For an extremum $f'(x) = 0$. $f'(x) = 0$ at $x = 1, 3, 0$

$f''(x) = 20x^3 - 60x^2 + 30x$. So, $f''(1) < 0$, $f''(3) > 0$, $f''(0) = 0$

Since $f'(1) = 0$ and $f''(1) < 0$, f has a local maximum at 1

Since $f'(3) = 0$ and $f''(3) > 0$, f has a local minimum at 3

Since $f'(0) = 0$, and $f''(0) = 0$, in order to decide the nature, we are to examine derivatives of higher order at 0.

$f'''(x) = 60x^2 - 120x + 30$. $f'''(0) = 30 \neq 0$

So, f has neither a maximum nor a minimum at 0.

2. If $f'(x) = (x-a)^{2m} (x-b)^{2n+1}$ where m, n are positive integers,

show that f has neither a maximum nor a minimum

at a and f has a minimum at b .

Solution: a is a multiple root of order $2m$ of the polynomial $f'(x)$. So, a is a multiple root of order $2m-1$ of the polynomial $f''(x)$, a multiple root of order $2m-2$ of the polynomial $f'''(x), \dots$