

$$\begin{pmatrix} C_1 & 0 & \dots & 0 \\ 0 & C_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & C_r \end{pmatrix}$$

where each C_i is the companion matrix of a polynomial $(\phi(t))^m$ such that $\phi(t)$ is a monic irreducible divisor of the characteristic polynomial of T and m is a positive integer. A matrix representation of this kind is called a rational canonical form of T . We call the accompanying basis a rational canonical basis for T .

The next theorem is a simple consequence of the following lemma, which relies on the concept of T -annihilator

Lemma 7.3.4 Let T be a linear operator on a finite dimensional vector space V . Let x be a non-zero vector in V and suppose that the T -annihilator of x is of the form $(\phi(t))^p$ for some irreducible monic polynomial $\phi(t)$. Then $\phi(t)$ divides the minimal polynomial of T , and $x \in K_\phi$.

Proof: By Theorem 7.3.2(b), $(\phi(t))^p$ divides the minimal polynomial of T . Therefore $\phi(t)$ divides the minimal polynomial of T . Furthermore, $x \in K_\phi$ by the definition of K_ϕ .

Theorem 7.3.5 Let T be a linear operator on a finite dimensional vector space V , and let β be an ordered basis for V . Then β is a rational canonical basis for T if and only if β is the disjoint union of T -cyclic ~~bases~~ bases β_{v_i} , where each v_i lies in K_ϕ for

for some irreducible monic divisor $f(t)$ of the characteristic polynomial of T .

Proof: Exercise.

Example 1 Suppose that T is a linear operator on \mathbb{R}^8 and $\beta = \{v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8\}$ is a rational canonical basis for T such that

$$C = [T]_{\beta} = \left(\begin{array}{cc|cccccc} 0 & -3 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & -2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{array} \right)$$

is a rational canonical form of T . In this case, the submatrices C_1 , C_2 and C_3 are the companion matrices of the polynomials $\phi_1(t)$, $(\phi_2(t))^2$ and $\phi_2(t)$, respectively, where

$$\phi_1(t) = \cancel{t^2 - t + 3} t^2 - t + 3 \quad \text{and} \quad \phi_2(t) = t^2 + 1$$

In the context of Theorem 7.3.5, β is the disjoint union of the T -cyclic bases; that is

$$\begin{aligned} \beta &= \beta v_1 \cup \beta v_2 \cup \beta v_3 \\ &= \{v_1, v_2\} \cup \{v_3, v_4, v_5, v_6\} \cup \{v_7, v_8\} \end{aligned}$$

We can prove that (by our previous result) that the characteristic polynomial $f(t)$ of T is the product of the characteristic polynomials of the companion matrices. So, $f(t) = \phi_1(t) (\phi_2(t))^2 \phi_2(t) = \phi_1(t) (\phi_2(t))^3$.

The rational canonical form C of the operator T in Example 1 is constructed from the matrices of the form C_i , each of which is the companion matrix of some power of a monic irreducible divisor of the characteristic polynomial of T . Furthermore, each such divisor is used in this way at least once.

In the course of showing that every linear operator T on a finite dimensional vector space has a rational canonical form C , we show that the companion matrices C_i that constitute C are always constructed from powers of the monic irreducible divisors of the characteristic polynomial of T .

A key role in our analysis is played by K_ϕ , where $\phi(t)$ is an irreducible monic divisor of the minimal polynomial of T . Since the minimal polynomial of an operator divides the characteristic polynomial of the operator, every irreducible divisor of the former is also an irreducible divisor of the latter. We eventually show that the converse is also true; that is, the minimal polynomial and the characteristic polynomial have the same irreducible divisors.

We begin with a result that lists several properties of the irreducible divisors of the minimal polynomial.

Theorem 7.3.6 Let T be a linear operator on a finite dimensional vector space V , and suppose that

$$p(t) = (\phi_1(t))^{m_1} (\phi_2(t))^{m_2} \cdots (\phi_k(t))^{m_k}$$
 is the minimal polynomial of T , where $\phi_i(t)$'s, $i=1, 2, \dots, k$ are distinct irreducible monic factors of $p(t)$ and m_i 's are positive integers. Then the following statements are true:

- (a) K_{ϕ_i} is a non-zero T -invariant subspace of V for each i .
- (b) If x is a non-zero vector in some K_{ϕ_i} , then T -annihilator of x is of the form $(\phi_i(t))^p$ for some integer p .
- (c) $K_{\phi_i} \cap K_{\phi_j} = \{0\}$ for $i \neq j$
- (d) K_{ϕ_i} is invariant under $\phi_j(T)$ for $i \neq j$ and the restriction of $\phi_j(T)$ to K_{ϕ_i} is one-to-one and onto.
- (e) $K_{\phi_i} = N((\phi_i(T))^{m_i})$ for each i .

Proof: If $k=1$, then (a), (b) and (e) are obvious, while

(c) and (d) are vacuously true. Now suppose that $k > 1$.

(a) The proof that K_{ϕ_i} is a T -invariant subspace is left as an exercise. Let $f_i(t)$ be the polynomial obtained from $p(t)$ by omitting the factor $(\phi_i(t))^{m_i}$. To prove that K_{ϕ_i} is non-zero, first observe that $f_i(t)$ is a proper divisor of $p(t)$; therefore there exists a vector $z \in V$ such that $x = f_i(T)(z) \neq 0$. Then $x \in K_{\phi_i}$,

because $(\phi_i(T))^{m_i}(x) = (\phi_i(T))^{m_i} f_i(T)(z) = p(T)(z) = 0$

(b) Assume the hypothesis. Then $(\phi_i(T))^q(x) = 0$ for some positive integer q . Hence the T -annihilator of x divides $(\phi_i(t))^q$ by Theorem 7.3.2(b) and the result follows.

(c) Assume $i \neq j$, let $x \in K_{\phi_i} \cap K_{\phi_j}$ and suppose that $x \neq 0$. By (b), the T -annihilator of x is a power of both $\phi_i(t)$ and $\phi_j(t)$. But this is impossible because $\phi_i(t)$ and $\phi_j(t)$ are relatively